

**PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In re Application of:**

**Prior Group Art Unit: 2653**

Yasuhito SOMA et al.

**Prior Examiner: Paul W. Huber**

**Serial No.: Rule 1.53(b) Divisional  
of Serial No. 10/238,587  
filed September 11, 2002**

**Filed: November 5, 2003**

**For: OPTICAL DISK APPARATUS**

## INFORMATION DISCLOSURE STATEMENT

**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

**Sir:**

Pursuant to 37 C.F.R. §1.56, the attention of the U.S. Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449.

The listed references were cited during prosecution of patent applications Serial Nos. 10/238,587 filed September 11, 2002 and 09/492,269 filed January 27, 2000, and copies of each reference may be found in the USPTO file for these applications.

The above information is presented so that the U.S. Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §1.104(a) and §1.106(b) concerning the USPTO duty to consider and


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use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.

November 5, 2003  
Date

  
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RWP:RNW/mhs

Attorney Docket No.: HYAE:095C

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)  
(Use several sheets if necessary)ATTY DOCKET NO.  
HYAE:095CSERIAL NO.  
R53b Div of SN 10/238,587APPLICANT  
Yasuhito SOMA et al.FILING DATE  
November 5, 2003GROUP  
2653

## U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,858,216	08/15/1989	Kamiya	369	46	05/1987
	6,044,050	03/28/2000	Kuroiwa	369	44.41	09/1997

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						NO	YES
	2-203429	08/1990	JAPAN				ABSTRT
	10-112043	04/1998	JAPAN				ABSTRT
	9-223320	08/1997	JAPAN				ABSTRT
	60-236125	11/1985	JAPAN				ABSTRT
	5-39020	11/1985	JAPAN			X	
	3-144928	06/1991	JAPAN				ABSTRT

## OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER:

DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.